

## Exercises for 'Functional Analysis 2' [MATH-404]

(05/05/2025)

### Ex 10.1 (Partial derivatives and $C^1$ -functions)

Let  $(X_j)_{j=1}^n, Y$  be Banach spaces and  $X = \prod_{j=1}^n X_j$  be equipped with the norm  $\max_j \|x_j\|_{X_j}$ . Let  $U \subset \prod_{j=1}^n X_j$  be open and  $F : U \rightarrow Y$ . Show that if all partial derivatives exist and  $\partial_{x_j} F \in C(U, \mathcal{L}(X_j, Y))$ , then  $F \in C^1(U, Y)$ .

**Hint:** Guess the form of the derivative using a result in the lecture notes.

### Ex 10.2 (Consequences of Banach's fixed point theorem\*)

Let  $X$  be a Banach space. Prove the following two statements :

- a) Let  $T : X \rightarrow X$ . If there exists  $\theta \in (0, 1)$  such that  $\|T(x) - T(y)\| \leq \theta \|x - y\|$  for all  $x, y \in X$ , then  $I - T$  is a homeomorphism from  $X$  to  $X$ .
- b) Let  $S : \overline{B_\delta(0)} \subset X \rightarrow X$  and assume that there exists  $\theta \in (0, 1)$  such that

$$\|S(x) - S(y)\| \leq \theta \|x - y\| \text{ for all } x, y \in \overline{B_\delta(0)}.$$

If  $\|S(0)\| < \delta(1 - \theta)$ , then  $I + S$  has a unique zero. Moreover,

$$B_\rho(0) \subset (I + S)(B_\delta(0))$$

for  $\rho = (1 - \theta)\delta - \|S(0)\|$ .

### Ex 10.3 (Square root of an operator)

Let  $E$  be a Banach space and put  $X = \mathcal{L}(E, E)$ . Consider the function  $F : X \rightarrow X$  such that  $F(T) = T \circ T$  (which we can informally write as  $F(T) = T^2$ ). Show that there exists a neighborhood  $U$  of  $I_E$  (the identity operator on  $E$ ) and a differentiable map  $G : U \rightarrow X$  such that  $G(T)^2 = T$  for all  $T \in U$ .

### Ex 10.4 (Small-norm solutions of nonlinear BVP)

Consider the nonlinear boundary value problem (BVP for short)

$$u'' + \lambda e^u = 0 \quad \text{in } (0, \pi), \quad u(0) = 0 = u(\pi).$$

Applying the implicit function theorem to the map  $F : X \times \mathbb{R} \rightarrow Z$ ,  $F(u, \lambda) = u'' + \lambda \exp(u)$ , where

$$X := \{u \in C^2([0, \pi]) : u(0) = 0 = u(\pi)\} \quad \text{with norm } \|u\|_X = \sup_{t \in [0, \pi]} |u''(t)|,$$

$$Z := C([0, \pi]),$$

prove that for  $\lambda$  in a neighborhood of 0 this problem has a unique small-norm solution that depends continuously on  $\lambda$ .

**Hint:** You can use without proof that  $(X, \|\cdot\|_X)$  is a Banach space.

*Comment : in the above, the “small-norm” condition is specified, since otherwise uniqueness is not clear. Using convexity arguments, one could show that the solution is globally unique provided that  $\lambda \leq 0$  .*